

Beetlemania: is the bark worse than the bite? Rocky Mountain subalpine forests recover differently after spruce beetle outbreaks and wildfires

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Abstract. Due to the shifting global climate, the frequency and severity of disturbances are increasing, inevitably causing an increase in disturbances overlapping in time and space. Bark beetle epidemics and wildfires have historically shaped the disturbance regimes of Western North American forests. Their interactive effects on stand dynamics and recovery are inadequately studied in *Picea engelmannii* (Engelmann spruce)-*Abies lasiocarpa* (subalpine fir) dominant forests; understanding these interactions is imperative to the management and health of forested ecosystems. This study focuses on the effects of epidemic *Dendroctonus rufipennis* (spruce beetle) outbreaks, high-severity fires, and the subsequent species and structural diversity of subalpine forest regeneration and structure in Northern Colorado and Southern Wyoming. We compared tree seedling densities and species composition, surface fuel loading, and stand structure characteristics across 80 sites that experienced either high tree mortality from epidemic spruce beetle outbreaks (>50% affected basal area), high-severity wildfire, post-outbreak high-severity wildfire (1-3 years post-outbreak), or no disturbance (control). The beetle outbreak sites span multiple years post-outbreak from 1996-2017, ultimately comprising a chronosequence of beetle-affected stands. Analyses indicate a significant increase in fuel loading over time-since-outbreak, as aerial fuels are transferred to the forest floor following high tree mortality. Tree seedling densities among outbreak and control sites differ significantly from burned areas, indicating that wildfires override the effects of repeated disturbances on regeneration. There is consistent Engelmann spruce seedling survival following beetle outbreaks, providing evidence for stable forest recovery following a single disturbance. However, fire was a dominate force in determining post-disturbance species composition, indicating continued prevalence of high severity fire may prove detrimental for the persistence of spruce-fir species, while promoting shifts toward more drought and fire tolerant tree species (e.g., *Pinus contorta*). It is critical to

understand post-disturbance fuel dynamics and stand recovery to identify hazards for subsequent fire suppression, implement treatments to enhance forest resilience, and to understand the potential consequences of climate-induced shifts in disturbance regimes on forest health.

Key words: disturbance ecology; bark beetle; wildfire; fuels; subalpine forest; regeneration; forest health

INTRODUCTION

Subalpine forests are dominant in high-elevation or cold temperature sites across western North America. This far-reaching ecosystem historically experienced infrequent high severity disturbances, including wildfires and bark beetle outbreaks (Kulakowski et al. 2003; Veblen 2000). High-severity disturbances, such as wildfires and bark beetle outbreaks, are drivers of subalpine forest succession and composition, and fuel accumulation and changes. These disturbances have shaped these ecosystems for millennia, but increasing disturbance extent in recent years has led to concerns about post-disturbance recovery and the potential interacting effects of these biotic and abiotic disturbances.

High severity disturbances in subalpine ecosystems historically occurred on the century scale and have predominantly been driven by climate (Bessie and Johnson 1995). Wildfires in these forests are relatively infrequent, typically high-severity or stand-replacing occurring at intervals of 300-600 years (Romme and Knight 1981; Veblen 2000; Schoennagel et al. 2004). Fire exclusion in subalpine forests has had minimal effects on historic fire regimes, particularly in the Rocky Mountains due to fire return intervals that span centuries (Romme and Despain 1989; Sherriff et al. 2001). Unlike forests at lower elevations, subalpine forests are not fuel limited; fires in subalpine forests are climate-driven and are often associated with drought

conditions (Sherriff et al. 2001). Meanwhile, historic spruce beetle (*Dendroctonus rufipennis*) outbreaks varied in severity, with high-severity outbreaks occurring at intervals of 100-250 years over the past several centuries (Veblen 2000). Dendrochronological reconstructions have shown that drought conditions have driven historical outbreaks and that recent epidemic bark beetle outbreaks were preceded by warmer temperatures (Hart et al. 2014; Hebertson and Jenkins 2008). In addition, reconstructions have shown widespread synchronicity of outbreaks, indicating a regional driver, such as climate (Hart et al. 2014). Climate change may be altering the disturbance regimes of both wildfire and bark beetle outbreaks in these systems with documented evidence of increasing fire season length, greater burn areas, and even more fire starts (Abatzoglou and Williams 2016; Dennison et al. 2014; Schoennagel et al. 2017; Westerling et al. 2006). Changes in climate may also play a role in the extent and severity of bark beetle outbreaks in subalpine forests (Hart et al. 2014; Dell and Davis 2019).

These two disturbances significantly alter fuel complexes over time with large implications on subsequent disturbances. High-severity wildfires alter fuels both through consumption of burnable fuel and the creation of new dead fuels. Due to the severity of subalpine wildfires, postfire surface fuels consist of coarse woody debris and downed logs. Slow decomposition rates contribute to the persistence of postfire surface fuels on the landscape for decades to centuries. Similarly, bark beetle caused mortality may have long-lasting impacts on forest structure, stand recovery, and future disturbances. Canopy and surface fuel components can change dramatically as the Engelmann spruce (*Picea engelmannii*) move from the “yellow phase” (1-3 years after bark beetle attack) when needles lose foliar moisture content, to the “gray stage” (3-10 years after attack) when needles and fine branches fall, to the “old stage” (10+ years after attack) when standing dead snags fall to the forest floor (Andrus et al. 2016). The

conversion of standing trees to downed logs is associated with an accumulation of combustible and often continuous coarse woody surface fuel loads (Stephens et al. 2018). Additionally, several studies found that higher elevation surface fuels tend to be denser and more continuous than other forest types, contributing to characteristic stand-replacing fires in subalpine forests (Taylor and Fonda 1990; Fryer and Johnson 1988). Outbreak severity, rate of mortality, and spatial arrangement of host trees within a stand can further influence the alteration of fuel complexes throughout space and time in beetle-affected stands (Hoffman et al. 2015; Sieg et al. 2017). The effects of bark beetle caused mortality on fire behavior varies greatly throughout evolving outbreak stages (Hoffman et al. 2015; Sieg et al. 2017); the variable impacts of fuel moisture and spatial arrangement at the time of burning are important concerns for land managers and fire managers to consider.

In addition to changes in fuels complexes, spruce beetle outbreaks and wildfires alter regeneration dynamics and species recovery. Following the loss of mature Engelmann spruce trees, species dominance shifts toward subalpine fir. Post-outbreak recovery depends on the release of tree regeneration already present on site, taking decades for Engelmann spruce to attain basal area dominance (Veblen et al. 1991). On the other hand, species recovery following stand-replacing wildfires requires seed dispersal from unburned area for new recruitment cohorts (Veblen et al. 1991). Lodgepole pine and quaking aspen quickly and prolifically colonize burned subalpine stands. Serotinous lodgepole pine relies on an aerial seedbank for seed dispersal, and quaking aspen is able to resprout from extensive root systems that can survive high-severity fires (Mitton and Grant 1996; Harvey et al. 2016). Engelmann spruce preferentially establishes on bare mineral soil, allowing early, but slow, postfire recruitment (Johnstone and Chapin 2006). Due to litter layer requirements for establishment, subalpine fir is typically one of the last species

to colonize a post-fire landscape (DeRose & Long 2010; Harvey et al. 2016). As postfire recovery develops, spruce and fir attain basal area dominance over shade-intolerant lodgepole pine and quaking aspen and become climax community dominants. While lodgepole pine and quaking aspen are fire- and drought-tolerant species, spruce and fir seedlings are particularly sensitive to postfire moisture stress and large burn patches, slowing their postfire recovery following large fires and droughted conditions (Coop et al. 2010; Harvey et al. 2016). This has important implications for the future of subalpine stands; altered disturbance regimes and changing climatic conditions create uncertainty about the persistence of spruce-fir forests. Furthermore, it is unknown how these forests will respond to both spruce beetle and wildfire disturbances, requiring further investigation.

Few studies in recent literature have analyzed the potential of compounded disturbance effects on conifer regeneration in the Rocky Mountains (Carlson et al. 2017; Harvey et al. 2013; Harvey et al. 2014a; Kulakowski et al. 2013; Stevens-Rumann et al. 2015). In upper montane mixed-conifer forests, Harvey et al. (2014a) found that pre-fire beetle outbreaks can reduce post-fire regeneration if outbreak severity is high enough to diminish seed sources. However, in these lodgepole pine dominated stands, viable seeds of serotinous cones can persist in the aerial seedbank, contributing to post-fire regeneration despite pre-fire outbreaks (Harvey et al. 2014a). In dry mixed-conifer forests dominated by Ponderosa pine (*Pinus ponderosa*) and Douglas-fir (*Pseudotsuga menziesii*), Stevens-Rumann et al. (2015) found no compounded effects from bark beetle outbreaks and wildfire on post-disturbance regeneration, while in similar systems Harvey et al. (2013) found compounded effects on regeneration following high-severity beetle outbreaks coupled with low-severity fire, due to a reduction of pre-fire *in situ* seed sources. Finally, in subalpine forests, Carlson et al. (2017) found that there may be a reduction in post-fire tree

regeneration due to pre-fire spruce beetle outbreaks. This effect of linked disturbances on post-fire regeneration was attributed to differences in vegetation recovery because of post-outbreak surface fuel effects on fire behavior (Carlson et al. 2017). The effects of multiple disturbances on post-disturbance recovery varies by forest type and disturbance severity. Although most studies have indicated that climate has a critical impact on post-disturbance regeneration, there is considerable uncertainty in the literature regarding the nature of bark beetle-fire interactions and associated regeneration dynamics.

This study focused on the effect of spruce beetle outbreaks, high-severity fires, and their interactive effects on subalpine forests in Northern Colorado and Southern Wyoming, USA. We constructed a chronosequence of beetle-affected stands in various post-outbreak stages in order to analyze how fuels change over time following the spruce beetle epidemic. We chose to focus on outbreaks that occurred from 1996 until 2017 due to the resulting widespread mortality from these events, as well as widespread discrepancies in the scientific literature on interacting disturbances and recovery dynamics in these systems. The goals of this study were to answer the following questions: 1) how does time-since-outbreak affect stand and fuel structures in subalpine forests? 2) how does the recovery trajectories and fuel complexes differ between wildfires, outbreaks, or a combination of the two disturbances? and 3) what are the site-specific drivers of post-disturbance fuels and tree regeneration?

METHODS

Study Area

Subalpine forests range in latitude from southern Canada to New Mexico, typically directly below the tree line. The subalpine zone in the Central US Rockies is characterized by

warm, dry summers and cool, wet winters, with the majority of annual precipitation in the form of snow. These forests commonly consist of long-lived shade-tolerant species such as Engelmann spruce and subalpine fir (*Abies lasiocarpa*), and to a lesser extent quaking aspen (*Populus tremuloides*) and lodgepole pine (*Pinus contorta*) at earlier seral stages.

Study sites were located in northern Colorado and southern Wyoming in subalpine forests in the Arapaho-Roosevelt National Forests, Routt National Forest, and Medicine Bow National Forest (Figure 1). Sites were located in subalpine stands at a range of elevations from 2,591 to 3,326 meters above sea level and were predominately composed of Engelmann spruce and subalpine fir, and to a lesser extent quaking aspen and lodgepole pine. On average, study sites received 97.03 centimeters of precipitation per year, ranging from 48.77 to 115.32 centimeters over the timespan of the outbreak under study (USDA NRCS 2020). The average temperatures for study sites range from a mean low of -8.11° C to a mean high of 13.83° C (USDA NRCS 2020). A large-scale (5,261 ha (13,000 ac)) blowdown event occurred in 1998 in the Hahns Peak/Bears Ears Ranger District of the Routt National Forest in northern Colorado (Schaupp et al. 1999). This event initiated spruce beetle infestations in healthy, standing Engelmann spruce trees, triggering a landscape-scale epidemic outbreak, which had been exacerbated by warmer and drier conditions.

Site Selection

We used Aerial Detection Survey (ADS) data of spruce beetle outbreaks that have occurred from 1996 to 2017 collected by the USDA Forest Service, Forest Health Protection and its partners, to identify areas with beetle caused mortality (USFS 2017). ADS data is collected from an aircraft, from which the collector manually indicates forest changes on a map. Due to this methodology's nature, bark beetle outbreaks are not detected until after the tree needles start

to fade and change color. Therefore, there is typically a 1-3 year lag between initial infestation and detection through aerial surveys; thus we used the year of initial detection above 10% tree mortality as the outbreak year. We also used Monitoring Trends in Burn Severity (MTBS) data on wildfires in spruce-fir forests (MTBS Data Access 2017).

ArcMap (10.6) was used to generate random points within outbreak polygons across a gradient of time-since-outbreak, as well as within burned areas. Outbreak plots were defined as >50% of stand basal area (m^2/ha) affected by spruce beetle. We used MTBS data to map high-severity fires since 1996, as well as post-outbreak high-severity fires. All plots were located at least 50 meters from any trail or road. Both severity and bark beetle mortality were field verified.

The outbreak plots were categorized into five different groups based on the year the outbreak was detected: 1996-2004, 2005-2008, 2009-2010, 2011-2014, 2015-2017. Post-outbreak fire sites were sites where a spruce beetle outbreak was detected 1-3 years prior to a high-severity wildfire (Burn Ridge Fire, 2002; Hinman Fire, 2002; Wolverine Fire, 2005) and high-severity fire sites were burned in 2002 without previously detected outbreaks (Big Fish Fire, Lost Lakes Fire, Hinman Fire). Control plots were defined as <25% stand basal area colonized and/or dead. Ten sites were established in each of the five outbreak groups as well as in high-severity burn sites, post-outbreak fire sites, control sites for a total of 80 plots with equal representation in each group. This chronosequence of outbreaks represents the spread of epidemic outbreaks over time; the earliest outbreaks started in the Routt National Forest and subsequently spread to the Medicine Bow National Forest, Arapaho National Forest, and Roosevelt National Forest. Due to the time from infestation to detection, as well as the progressive nature of outbreaks (in that all trees at a particular site likely did not die in the same year), the “year of outbreak” is somewhat of an estimate; we used outbreak time groups to

address this. All data was collected in the summers of 2018 and 2019, resulting in a chronosequence of 1 to 21 years post outbreak sites, while post-outbreak fire sites and high-severity burn sites were measured 14-17 years post-fire.

Sampling Design

We modeled our plot design after Ott et al. (2018), establishing 0.08-ha circular fixed area plots. Topographic characteristics were collected at each plot, using smartphone Global Positioning System for elevation and aspect collection and a clinometer for slope collection. Within each plot, measurements were taken for each standing tree (≥ 12.7 cm diameter at breast height (DBH)). Species, DBH, crown base height (on living trees), tree health, spruce beetle, and other insect impacts were recorded. Tree health was ranked on a scale from 1 to 8 to quantify live, dead, or dying status (modified from Forest Inventory and Analysis National Core Field Guide, USDA 2017). We used live basal area as a proxy for canopy cover. Standing Engelmann spruce trees were flagged or tagged for future monitoring. Pre- and post-disturbance basal area and density was calculated by species.

We established four 16.1m long transects within each fixed area plot that extended in the cardinal directions from the plot center. Following Brown (1974), we tallied woody surface fuels in 1- (<0.6cm), 10- (0.6-2.5cm), and 100-hour (2.5-7.6cm) size classes along the transects. 1-hour fuel data were collected for seven meters on each transect. 10- and 100-hour fuels were counted along the entire length of the transects (16.1m). A total of 20 litter and duff depths were taken along the four transects. Point intercept sampling was employed along each transect for a total of 100 points to identify life forms of understory growth (shrub, forb, graminoid, tree) and the corresponding substrate (litter, woody debris, moss/lichen, rock, bare ground).

We established two types of subplots. For 1000-hour fuels ($\geq 7.6\text{cm}$) (coarse woody debris), we established 0.015-ha (6.9m radius) circular subplots located at the plot center. We measured end diameters and length of each downed log; if a log extended outside of the subplot, we took the end diameter at subplot edge. We modeled transect sampling lengths and the coarse woody debris subplot area after Sikkink and Keane (2008) to bolster plot representativeness. To quantify tree regeneration, we established five 0.004-ha (3.6m radius) subplots: one center subplot and one at the end of each transect. In each regeneration subplot, we counted and classified all tree regeneration (any living tree $< 2\text{m}$ height) by height and species.

Statistical Analyses

Statistics and graphics were completed in R (R Core Team 2019). To answer question one, we used a simple linear model (Gaussian family) to analyze the effect of time since outbreak on different forest structural parameters, including overstory mortality, stand structure, and fuel loading (Table 1). The presence of insect mortality agents other than spruce beetle was negligible in all sites and was not included in analyses. To answer question two, we used one-way analyses of variances (ANOVAs) using disturbance groups as the predictor variable with similar response variables as those to answer question one. Tukey-adjusted comparisons were used to compare ANOVA groups using the emmeans package in R (Lenth 2019). There was no observed change in total seedling densities, Engelmann spruce seedling densities, nor subalpine fir seedling density across outbreak groups ($F < 0.7$, $P > 0.40$). For this reason, analyses on seedling densities were performed on disturbance type (bark beetle, post-outbreak fire, high severity fire, control) rather than across the chronosequence of time since outbreak in both ANOVAs and GLMs by species.

For question three, generalized linear models (GLM; Gaussian family) were used to assess site specific drivers on seedling density by species, stand structure characteristics, and fuel complexes. Aspect was transformed using Beer's transformation for analyses. The control group was used as the reference for the disturbance groups in the GLM analyses. Individual models were developed for different tree seedling species; all significant predictors were incorporated into larger, inclusive generalized linear models. Due to differences in life history traits, corresponding live standing densities were included in the GLM analyses for drivers of lodgepole pine and quaking aspen regeneration.

We tested the assumptions that these sites were comparable by assessing pre-outbreak basal area. We included all bark beetle killed stumps to retroactively composite a pre-outbreak basal area and performed an ANOVA to assess differences in basal area among disturbance groups. We assessed the assumptions of normality and variance with Shapiro-Wilks and Levene's tests. Due to the widespread variability within and among groups of seedlings, log-transformed seedling densities were used in analyses in order to satisfy normality assumptions for ANOVA and regression models. Due to the clustering of plot locations, we also tested assumptions of autocorrelation among plots by including it as a factor in a generalized linear mixed model; it represented less than 1% of the variance and thus was excluded. A threshold of $\alpha=0.05$ was used to designate statistical significance, and each study plot was a sample unit (N=80).

RESULTS

Across all post-outbreak sites, mean pre-outbreak basal area was $60.5 \pm 19.6 \text{ m}^2/\text{ha}$, with Engelmann spruce and subalpine fir as codominant overstory. Lodgepole pine and quaking aspen made up 2.48% and 0.60% of pre-disturbance density, respectively. Pre-disturbance basal area

were similar across groups ($F=1.34$, $P=0.268$). Beetle-affected trees (either dying or dead, hereafter referred to as snags) ranged from 50% to 96% of plot basal area, with an average of 65% affected plot basal area. The quadratic mean diameter of beetle-killed trees was 41.7 ± 10 cm.

Time-since-outbreak influences stand structure and fuel complexes

Time-since-outbreak significantly impacted many fuels and stand structure characteristics. The abundance of standing Engelmann spruce snags was lowest in the oldest outbreak group (1996-2004) and highest in the intermediate outbreak group (2009-2010) ($F=4.742$, $P=0.003$). Sites in the youngest outbreak groups had moderate levels of standing Engelmann spruce snags (Figure 2). The proportion of standing live Engelmann spruce density in plots decreased with increasing time-since-outbreak. In control sites and newly infested bark-beetle sites, live standing Engelmann spruce dominated stand density over subalpine fir. In the oldest outbreak sites, live Engelmann spruce density dropped by as much as 94% compared to pre-outbreak Engelmann spruce density ($F=60.714$, $P<0.0001$). Beetle-killed trees begin to fall ~8-9 years following spruce beetle outbreak detection ($R^2=0.142$, $P=0.007$). This corresponded to an increase in dead woody surface fuels, especially in the larger size classes (Figure 3).

Total downed woody fuel loading increased with time-since-outbreak (Figure 3e). This relationship was not observed in 1-hour fuels but is apparent in all other fuel size classes (Figure 3a). Woody fuels in the 10-hour, 100-hour, and 1000-hour size classes all have a positive linear relationship with increasing time-since-outbreak (Figure 3b, c, d).

Disturbance type impacts of forest structure and fuels

Total fuel loading is highest in the oldest outbreak group (1996-2004) and the high-severity fire group ($F=4.85$, $P<0.001$, Figure 4a). 1-hour fuel loading is lowest in high-severity burn sites and highest in the oldest outbreak site ($F=2.66$, $P=0.02$). Fuels in the 1-hour size class are similar across control, post-outbreak fire, and recent outbreak groups (Appendix Figure 1). 10-hour fuel loading is greatest in oldest outbreak sites, which is not statistically different than 10-hour fuel loading in either burn group ($F=4.61$, $P<0.001$; Appendix Figure 1). 10-hour fuel loading is significantly lower in recent outbreak and control groups compared to the oldest outbreak sites. Fuels in the 100-hour size class were greatest in the oldest and post-outbreak fire sites but were not statistically different in the intermediate outbreak and high-severity burn groups. The lowest 100-hour fuel loading was found in the two most recent outbreak groups and control sites ($F=5.283$, $P<0.001$; Appendix Figure 1). Similarly, coarse woody debris fuel loading (1000-hour size class) was highest in the oldest outbreak and the high-severity burn group but were not statistically different in the intermediate outbreak years, post-outbreak fire, and control groups ($F=4.52$, $P<0.001$; Appendix Figure 1). Coarse woody debris makes up a large proportion of total downed woody fuel loading; the patterns observed in 1000-hour fuels mirror total woody fuel loading trends.

Understory vegetation cover remained consistent across groups, showing no relationship with increasing time-since-outbreak. Similarly, litter and duff depths remained constant across outbreak groups but were significantly reduced in burned groups ($F=2.96$, $P=0.009$; 2.47, $P=0.02$). Litter depth is highest in control sites (mean=2.95cm) and lowest in high severity fire and post-outbreak fire sites (1.37cm and 1.66cm, respectively) ($F= 2.96$, $P=0.009$). Duff depths are lowest in both burn groups (mean depth of 3.2cm-3.6cm) and highest in outbreak and control groups (mean depth of 6.1cm-7.0cm) ($F=2.47$, $P=0.02$).

Tree seedling densities were significantly reduced in both burn groups ($F=6.31$, $P<0.0001$) (Figure 4b). Seedling densities in outbreak and control groups were not statistically different from each other ($F=9.17$, $P<0.0001$). Engelmann spruce seedling densities in control sites are statistically similar to burn groups and bark beetle sites (Figure 5a). Subalpine fir seedlings are significantly lower after wildfire and post-outbreak fire than in bark beetle and control sites ($F=15.52$, $P<0.0001$; Figure 5b). Subalpine fir seedling densities are statistically similar in bark beetle and control sites. Engelmann spruce seedling densities are significantly lower in both burn groups than in bark beetle sites ($F=5.29$, $P=0.002$). However, this pattern of seedling densities was not observed for other species, such as lodgepole pine and quaking aspen regeneration, that comprised a smaller proportion of tree seedling densities across our sites. Lodgepole pine seedling densities are either lowest or absent in bark beetle sites, and highest in post-outbreak fire sites ($F=6.66$, $P<0.001$). Lodgepole pine seedling densities in high-severity fire and control sites are not statistically different than bark beetle or post-outbreak fire sites (Figure 5c). Quaking aspen regeneration densities are lowest in bark beetle sites and highest in post-outbreak fire sites ($F=3.49$, $P=0.02$). Similar to lodgepole pine, quaking aspen regeneration densities in high-severity fire and control sites are not statistically different from bark beetle or post-outbreak fire sites (Figure 5d).

Site specific drivers of forest structure

Elevation ($P=0.15$), aspect ($P=0.44$), and slope ($P=0.28$) were not significant in predicting total woody fuel loading when experimental groups are included in the model (Table 1). The oldest outbreak group ($P=0.01$) and high-severity fire group ($P=0.003$) were both positively correlated with total woody fuel loading relative to the control group. Elevation ($P=0.16$), aspect ($P=0.83$), slope ($P=0.16$), and group were not correlated with 1-hour fuel

loading. Elevation was negatively correlated with woody fuel loading in the 10-hour size class (P=0.002). Aside from correlations with time-since-outbreak and burn groups described above, neither aspect (P=0.85) nor slope (P=0.47) were correlated with woody fuels in the 10-hour size class (table 2). Similarly, elevation had a negative correlation with fuels in the 100-hour size class (P=0.0006). Aside from correlations with disturbances, neither aspect (P=0.67) nor slope (P=0.33) had significant correlations with woody fuel loading in the 100-hour size class. Finally, aside from correlations with disturbances, there were no significant abiotic predictors of woody fuels in the 1000-hour size class (elevation: P=0.22; aspect: P=0.43, slope: P=0.31; Appendix Table 1).

For each species, disturbance type was a significant predictor of seedling densities (P<0.009) (GLM, Appendix Table 2). Additionally, live basal area was strongly correlated with total seedling density (F=17.23, P<0.0001). Live basal area, used as a proxy for canopy cover, was not included in the GLM analyses due to the correlation between live trees and disturbance type.

Similar trends were observed in both dominant subalpine species. Disturbance type was the only significant variable correlated with Engelmann spruce seedling density. High-severity fire (P=0.006) and post-outbreak fire (P=0.009) were both negatively correlated with Engelmann spruce seedling densities (Appendix Table 2). Live basal area was strongly correlated with Engelmann spruce seedlings; greater live tree coverage was correlated with higher seedling densities (P=0.001). Contrary to our expectations, elevation (P=0.77), aspect (P=0.38), slope (P=0.17), and total fuel loading (P=0.68) were not correlated with Engelmann spruce seedling densities. Similarly, disturbance type was the only significant predictor of subalpine fir seedlings; high-severity fire (P<0.0001) and post-outbreak fire (P<0.001) groups were negatively

correlated with subalpine fir densities. Elevation ($P=0.90$), aspect ($P=0.57$), slope ($P=0.30$), litter cover ($P=0.74$), and total woody fuel loading ($P=0.36$) were not correlated with subalpine fir seedling densities. Live basal area had a strong positive correlation with subalpine fir seedlings ($F=20.45$, $P<0.0001$).

Different predictors were observed for lodgepole pine and quaking aspen seedling density (Appendix Table 2). As elevation increased, lodgepole pine seedling density decreased ($P=0.009$) and similarly as total woody fuel loading increased, lodgepole pine seedling density declined ($P=0.05$). Disturbance type and standing lodgepole pine density ($P<0.004$) were both positive predictors of seedling densities. Specifically, post-outbreak fire ($P=0.002$) sites were positively correlated with lodgepole pine regeneration. Similarly, elevation was the only negative predictor of quaking aspen regeneration ($P=0.002$); there were fewer regenerating aspen at higher elevations. Disturbance type and standing aspen density were positively correlated with aspen regeneration density ($P<0.0001$ for both). Both high-severity fire ($P=0.006$) and post-outbreak fire ($P<0.001$) sites were positively correlated with quaking aspen regeneration densities.

DISCUSSION

Forest disturbances, such as bark beetle outbreaks and wildfires, leave ecological legacies that can influence the occurrence and behavior of future disturbances (Johnstone et al. 2016). This study improves our understanding of the recovery trajectories following bark beetle outbreaks, wildfires, and overlapping disturbances, within 1-21 years following these disturbances. Post-outbreak fuel loading, across all size classes except 1-hour fuels, increased linearly with time-since-outbreak. Similarly, high fuel loads accumulate substantially after high-severity wildfires. High post-disturbance fuel loads and their lasting effects will persist on the

landscape for decades into the future. Subalpine tree seedling densities were significantly lower following wildfires than bark beetle outbreaks, with no observed evidence of compound effects from multiple disturbances on tree seedling densities. Tree seedling densities were consistent over time-since-outbreak, indicating that beetle-affected stands will slowly recover to spruce-fir dominated forests in the absence of fire.

Forest composition

The abundance of Engelmann spruce snags initially increased as beetles successfully killed host trees and were initially identified as “dying” but not fully dead, and then decreased with increasing time-since-outbreak as trees fell and accumulated as woody surface fuels. The greatest density of standing snags were present 6-9 years after spruce beetle outbreak, before snags began to fall, which is similar to post-outbreak structural changes in other forest types such as Douglas fir and Ponderosa pine dominated systems (Negrón et al. 2009; Hoffman et al. 2012; Donato et al. 2013; Jenkins et al. 2014).

The loss of host trees in beetle-affected stands resulted in an increase of subalpine fir percent composition over time-since-outbreak. This creates subalpine fir-dominated stands until Engelmann spruce regeneration matures into the canopy (Veblen et al. 1991). In stands unaffected by bark beetles, Engelmann spruce had stand density dominance over subalpine fir. However, species dominance switched to favor subalpine fir with increasing time-since-outbreak. This switch in species dominance can result in long-term changes to subalpine forest structure if there is reduced Engelmann spruce regeneration as expected with warming and drying conditions (Lazarus et al. 2017). Consistent with other studies on post-outbreak subalpine structure in different geographic areas, we observed higher subalpine fir tree regeneration densities in most bark beetle stands, though Engelmann spruce was still a component of the

regeneration (DeRose and Long 2010). The reduction in mature Engelmann spruce trees will shift host tree size distribution to smaller size classes and decrease stand susceptibility to subsequent spruce beetle infestations for as long as 60 years (Hart et al. 2015)

Fuel complexes

Constructing a chronosequence of changing fuel structures over time allowed us to substitute space for time and study the effect of spruce beetle outbreaks through time in the Rocky Mountains. Others have used this method in examining fuel complex changes following wildfires, but not outbreaks (e.g. Roccaforte et al. 2012, Stevens-Rumann et al. 2020). As expected, there was an overall increase in total downed woody fuel loading with increasing time-since-outbreak. The highest woody fuel loading was in the oldest outbreak site. This correlation was not observed with 1-hour fuel loading, nor was the expected peak in fine woody fuels observed with the onset of the “gray stage” (Andrus et al. 2016; Jenkins et al. 2012). This is likely due to the high spatial variability of 1-hour fuel loading in subalpine forests. Subalpine forests are characteristically dense; the continual loss of fine twigs from the overstory to the forest floor could obscure any initial pulse in 1-hour fuel loads. Additionally, these forests have very slow rates of organic matter turnover and decomposition, leading to consistent accumulation of fine woody fuels over time (Meyer 2013). For the 10-hour fuel loads, there was a larger increase in fuel loading, ~17 years after outbreak detection. At this point in post-outbreak recovery, beetle-induced fuel accumulation was distinct from background variability in fine woody fuel loading. There was a gradual increase in 100-hour fuel loading after ~8 years post-outbreak detection. This is consistent with the end of the “gray stage,” transitioning into the “old stage” of post-outbreak recovery. This trend was observed in 1000-hour fuel loading as well, as standing dead trees begin to fall ~10 years after outbreak detection and continue to fall

as more years pass; this finding is consistent with post-outbreak treefall in Douglas-fir and Ponderosa pine forests as well (Donato et al. 2013; Hoffman et al. 2012). This pattern in the larger fuel size classes drove total downed woody surface fuel loading for the post-outbreak trajectory of subalpine stands, contributing to a marked increase in total fuel loading 10 years following outbreak detection. Due to the slow decomposition rates characteristic to subalpine stands, accumulated downed woody surface fuels from bark beetle outbreaks will persist on the landscape for decades to a century (Mietkiewicz et al. 2018).

As time passes after a spruce beetle outbreak, standing snags are transferred to dead surface fuels. This causes canopy fuels to be discontinuous as live interconnecting canopy fuels are reduced. There are still ladder fuels that maintain connectivity between fuel complexes due to dense, suppressed regeneration that makes up the understory and subcanopy of subalpine forests. This change in fuel distribution throughout the fuel layers is not expected to enhance the risk of fire spread due to reduced canopy bulk density and fuel continuity, although increased wind penetration can override these effects (Black et al. 2013; Jenkins et al. 2008; Sieg et al. 2017). Instead, fire spread is likely to change from wildfires that predominately spread throughout the crown to surface fires with long residence times (Donato et al. 2013). Standing snags also pose a risk to firefighters as snags fall, especially if they become fire weakened. Thus, it is important to allocate human resources carefully in these bark beetle affected areas, especially 6-9 years post-outbreak, when snag fall rates begin to accelerate.

Wildfires in subalpine stands left greater total woody surface fuel loads on the landscape than control stands; this study is one of very few recent publications to document post-fire fuel characteristics in Rocky Mountain subalpine stands. These burned sites had greater proportions of 100- and 1000-hour fuels, while 1- and 10-hour fuels were unchanged compared to control

431 sites. Burned sites had high coarse woody debris loads because low intensity surface fires will
432 have high-severity effects in subalpine stands, as Engelmann spruce and subalpine fir are thin-
433 barked species that are easily killed by fire (Starker 1934). Up to 100% overstory mortality led to
434 significant tree fall and coarse woody debris accumulation 14-17 years post-fire. While few
435 recent studies document post-fire fuel loads in subalpine stands, our findings were consistent
436 with Romme (1982), who found that high post-fire fuel loads in subalpine forests were mainly
437 comprised of fire-killed stems, which can take decades to centuries to decompose. Abundant
438 litter and 1-hour fuels contribute to initial fire spread, while coarse woody debris and duff layers
439 can perpetuate smoldering and soil heating effects (Romme 1982). However, we did not see litter
440 and duff depths similar to control sites even 14+ years after wildfires and 1-hour fuels were
441 similar to control sites. Some hypothesize that following a wildfire, it could take up to 100 years
442 for these fine fuel layers to develop from litterfall (Romme 1982). Consequently, recently burned
443 stands may have reduced susceptibility to subsequent fires or beetle outbreaks for 100 years or
444 more (Kulakowski and Veblen 2007).

445 Woody surface fuel loads in post-outbreak fire sites were similar to high-severity burned
446 sites and were significantly higher than fuel loads in recent outbreak and control sites. This could
447 be due to the consumption of downed fuels from beetle-killed trees. However, the post-outbreak
448 fire sites analyzed in this study capture fires that occurred 1-3 years after the bark beetle
449 outbreak. At this stage after the outbreak, snags had likely not yet begun to fall and substantial
450 coarse fuels had not accumulated. Additional data on fires that occurred in later stages of
451 outbreak recovery may provide different conclusions about compounded disturbances on fuel
452 complexes and subalpine tree regeneration. Although, numerous empirical studies have found
453 weak or non-significant relationships between beetle-kill fuels and subsequent fire severity in

terms of canopy mortality, increased coarse woody surface fuels from beetle-killed trees may result in extended smoldering over a larger surface area, increased heat release from surface fires, and detrimental impacts on soil health (Brown et al. 2003; Certini 2005; Harvey et al. 2014a; Carlson et al. 2017). Assessing heating effects on soils was beyond the scope of this study given the long time-since fire considered in this study. Further studies in subalpine forests are needed to understand the influence of increased fuel loads due to spruce beetle outbreaks on burning conditions, high residence times, soil heating effects, and ultimately plant community responses.

Tree regeneration

Total tree seedling densities were similar among outbreak groups and controls. This trend was observed in Engelmann spruce and subalpine fir seedling densities as well. Seedling densities in the oldest outbreak group are consistent with densities in the newest outbreak group. The lack of significant differences in tree seedling densities across the chronosequence of beetle-affected stands could be due to the shade tolerant nature of Engelmann spruce and subalpine fir. Subalpine tree species do not need canopy openings for seedling establishment, which differs from the tree regeneration response after bark beetle outbreaks in other forest types (Hawkins et al. 2013; Collins et al. 2011). Conversely, canopy cover was a significant predictor of subalpine tree seedlings, as shaded, moist microclimate conditions favor subalpine fir and Engelmann spruce. Both species can persist in the subcanopy or understory for many years. This role of overstory trees as facilitators of subalpine species seedling survival in spruce beetle-affected stands is consistent with recent studies in subalpine stands of southwestern Utah (Pettit et al. 2019). Although the spruce beetle outbreaks observed in this study were high-severity disturbances, there were still some live mature Engelmann spruce remaining in or nearby

affected stands. Middle-aged, cone bearing Engelmann spruce that have not been killed by spruce beetles will continue to produce seeds, allowing for early cohorts of Engelmann spruce tree seedlings in beetle-affected stands, though the quantity of seed fall has certainly changed following outbreak. This indicates that the sampled outbreak stands will continue to persist as spruce-fir forests. However, there is not an observed increase in subalpine tree regeneration over time-since-outbreak. These findings of low post-outbreak tree regeneration are consistent with Carlson et al. (2020) and may be attributed to reduced snowpack and increased moisture deficits observed in western subalpine forests (Andrus et al. 2018; Lazarus et al. 2017). Ultimately, we are unable to fully assess the true dynamics of subalpine tree regeneration without aging every seedling that was measured. Insight into establishment dates through dendrochronological techniques may clarify the difference between suppressed advanced regeneration and post-outbreak recovery in these stands (Schapira et al. in preparation). Assessing the tree seedling data as it is now, these stands will continue to regenerate as spruce-fir forests in the absence of large fire events.

Engelmann spruce and subalpine fir seedling densities were dramatically reduced in both burn groups compared to outbreak and control groups. However, subalpine tree seedling densities were similar after high-severity burns and post-outbreak burns. There was no evidence of compounded effects from multiple disturbances on subalpine tree species regeneration. This finding is consistent with studies in subalpine forest types (Kulakowski et al. 2013), as well as other forest types in the Rocky Mountains (Harvey et al. 2014b; Stevens-Rumann et al. 2015). Wildfires in subalpine stands are characteristically severe; viable seed sources within burn patches are limited, if existent at all. Recolonization of the burned area will depend on seed delivery and establishment from unburned Engelmann spruce and subalpine fir seed sources,

which can be impeded by large burn patch sizes (>150m to live seed source), reduced soil moisture and climatic water deficit from warming conditions (Andrus et al. 2018; Harvey et al. 2016). Harvey et al. (2016) documented significantly reduced Engelmann spruce and subalpine fir post-fire establishment associated with severe post-fire drought conditions. Reforestation of burned subalpine stands may take decades to centuries (Rodman et al. 2019) as subalpine tree species have episodic seed production dependent on climatic conditions (Beuchling et al. 2016). If subalpine burned areas were to reburn at higher frequencies than the system is historically adapted for, there may be major shifts in forest structure. Repeated burns in subalpine forests can reduce material legacies (e.g., coarse woody debris) that facilitate seedling survival, increase patch sizes that reduce seedling establishment, and kill off subalpine tree species before seed production (Coop et al. 2010; Harvey et al. 2016a; Harvey et al. 2016b; Turner et al. 2019). Climate-induced fire regime shifts can result in unprecedented conversions of subalpine forested ecosystems to non-forested systems.

Conversely, lodgepole pine and quaking aspen regeneration densities were highest after spruce beetle outbreak and fire. Lodgepole pine seedling establishment was dependent on pre-disturbance stand structure; if viable seed sources are present, post-fire conditions favor lodgepole seedling recruitment due to exposed mineral soil, lack of shade by other seedlings, and light seeds that can be dispersed easily by wind (Harvey et al. 2016; Johnstone and Chapin 2006). Additionally, lodgepole pine is a drought tolerant species; post-fire seedling establishment is unaffected by post-fire drought (Harvey et al. 2016). Quaking aspen regenerates prolifically after high-severity fires, through which other seedlings that may compete for light availability are removed. Quaking aspen have underground root systems that can remain viable for hundreds of years despite aboveground tree mortality (Peet 2000). This vegetative life strategy allows

523 aspen to resprout after high-severity fires, quickly attaining stand dominance while other conifers
524 may be reduced due to dispersal distances, post-fire climate, and reduced soil moisture. The
525 climatic predictions of increased high-severity fires in subalpine stands will favor aspen
526 dominance (Kulakowski et al. 2013). Additionally, given the serotinous nature of lodgepole pine,
527 repeated fires can perpetuate lodgepole pine dominance (Harvey et al. 2016). However, the
528 proportion of serotiny across all of our sites is unknown and highly variable. There were fewer
529 quaking aspen regeneration and lodgepole pine seedlings at higher elevations, which
530 corresponded with fewer overstory aspen and lodgepole pine trees. However, climate change
531 models predict range shifts upward in elevation; furthermore, faster range shifts in high elevation
532 ecotones are expected relative to lower elevation forests (Kroiss and HilleRisLambers 2015). A
533 warming climate coupled with changing disturbance regimes may result in unprecedented losses
534 to subalpine tree species.

535 Contrary to other studies in subalpine forest types (Andrus et al. 2018; Coop et al. 2010;
536 Harvey et al. 2016), we did not observe any effect of elevation, aspect, nor slope on subalpine
537 climax species seedling densities. This could be because cool and moist growing conditions were
538 pervasive throughout the subalpine stands that were sampled. Plots were not established on the
539 range edge of the subalpine zone, which may explain why we did not observe the expected trend
540 of Engelmann spruce and subalpine fir moving upward in elevation with warming climates
541 (Kroiss and HilleRisLambers 2015). Moreover, abiotic factors may have a weakened effect on
542 seedling densities as warming conditions hinder seedling survival. Seedlings from the
543 experimental groups of this study have been destructively sampled and aged using
544 dendrochronological techniques, in order to pinpoint establishment dates (Schapira et al. in
545 preparation). These ages will provide a clear understanding of how, or if, subalpine stands are

recovering from these disturbances. Data on distance to seed source may elucidate structural drivers of seedling establishment. Long term, post-disturbance climate data may elucidate broad-scale drivers of reduced seedling establishment.

Management Implications

Forest managers can implement different tactics to mitigate detrimental consequences of shifting and interacting disturbance regimes. Fuel treatments within the first decade of outbreak detection can reduce total fuel accumulation caused by beetle-killed overstory trees. This may reduce residence times and prolonged smoldering during subsequent burning, mitigating detrimental impacts on soil health and seedling establishment (Carlson et al. 2017; Certini 2005). In addition, accumulated woody surface fuels and slow decomposition rates can reduce plant-available soil nitrogen and impede vegetation growth; fuel treatments that remove downed logs from the landscape will improve soil fertility (Edburg et al. 2012). However, prescriptions for fuel treatments depend on management objectives. If managing for fire behavior, removal of large beetle-killed trees and ladder fuels within the first decade of an outbreak may be beneficial. However, special consideration should be given to fine fuel accumulation that has been found to accumulate after salvage logging, as fine surface fuels may increase the risk of surface fire spread (Donato et al. 2013b). Additionally, there may be negative repercussions of fuel treatments on wildlife habitat. For example, the Canada lynx selectively use outbreak stands with large diameter trees, and its prey, the snowshoe hare, prefers understory connectivity in subalpine stands (Squires et al. 2020). In addition, small mammals, such as the red-backed vole (*Clethrionomys gapperi*), selectively use areas with abundant coarse woody debris (Ucitel et al. 2003). Fuel reduction treatments aimed at reducing fire behavior may have negative implications for listed wildlife species. If managing for resistance, proactive landscape scale removal of large

diameter host trees may improve stand resistance to epidemic bark beetle outbreaks (Jenkins et al. 2014; Temperli et al. 2014) and potentially influence the subsequent fuel loading observed in this study. However, treatments at this scale may be costly and result in removing valuable timber product (Temperli et al. 2014). Silvicultural techniques including tree planting and shelterwood treatments may increase stand resiliency to bark beetle outbreaks by creating favorable microsite conditions to promote spruce regeneration (DeRose and Long 2014). More broadly, post-disturbance tree planting may promote stand resiliency and the persistence of subalpine species on the landscape, though long-term patterns of tree regeneration are unknown given the centuries long recovery time scale.

Conclusions

Climate change is altering forest disturbance regimes, which are corresponding with heightened canopy mortality (Allen et al. 2010, Johnstone et al. 2016). An increase in the extent of disturbances will inevitably result in an increase in the interactions of disturbance events. Despite disturbance interactions and presence of tree regeneration on all studied sites, low post-fire seedling density following wildfires, regardless of previous outbreaks, is consistent with other studies in the Rocky Mountains (Harvey et al. 2016a; Stevens-Rumann et al. 2018). While this study did not support compounded or additive effects from interacting disturbances, low post-fire Engelmann spruce and subalpine fir regeneration in the Rocky Mountains may promote structural shifts to favor drought- and fire-tolerant species such as lodgepole pine and aspen. Continued high severity disturbance events may reduce forest resiliency and result in state shifts to altered forest types or non-forested ecosystems, particularly in slow-growing subalpine stands (Gill et al. 2017; Turner et al. 2019; Andrus et al. 2020).

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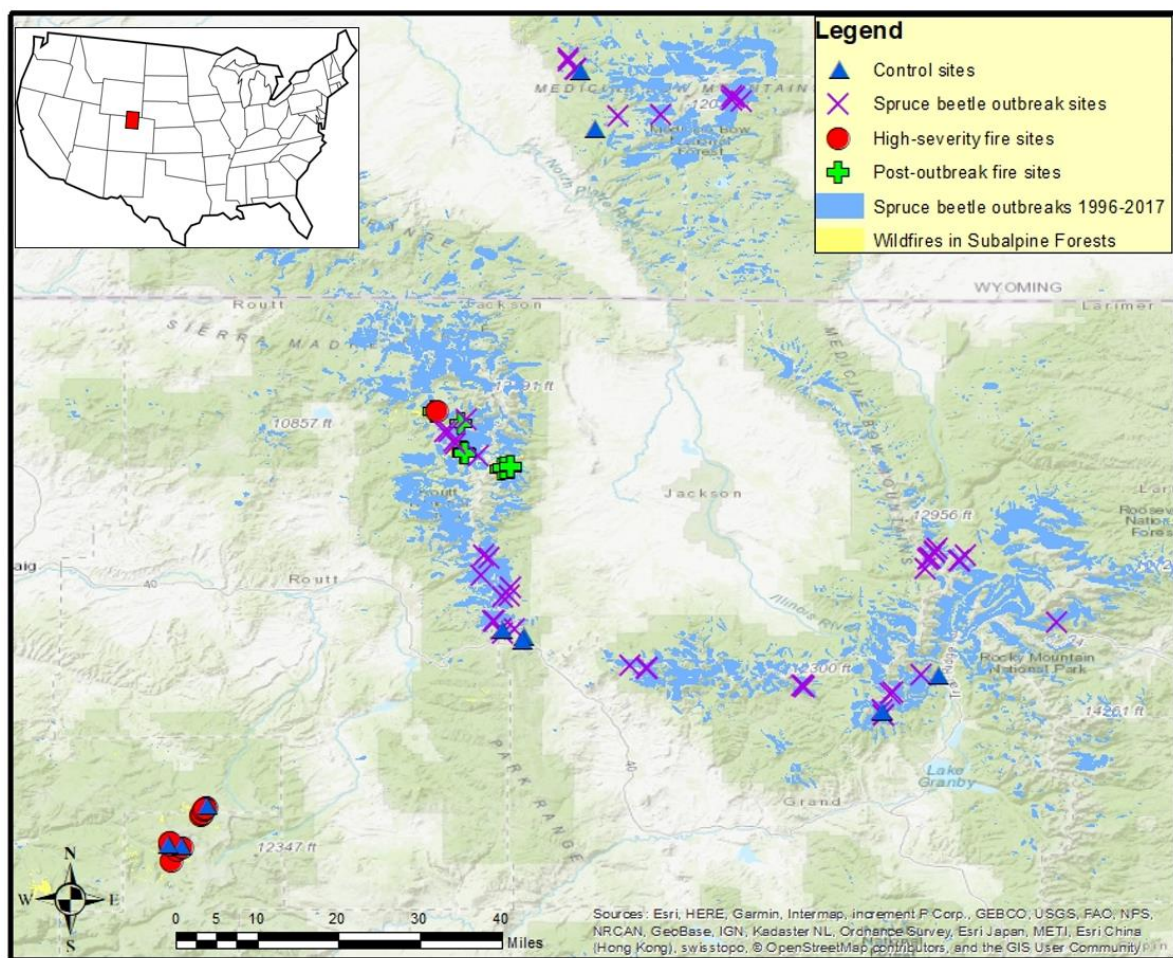
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896 **Table 1: Predictor and response variables used in analyses for each study question.**

Study question	Predictor variables	Response variables
Question 1) how does time-since-outbreak affect stand and fuel structures in subalpine forests?	Time since outbreak	Engelmann spruce mortality
		Snag density
		Live tree density
		1hr
		10hr
		100hr
Question 2) how does the recovery trajectories and fuel complexes differ between wildfires, outbreaks, or a combination of the two disturbances?	Disturbance groups: Bark beetle, control, high severity fire, and post-outbreak fire	1000hr
		Total fuel loading
		Litter and duff
		1hr
		10hr
		100hr
		1000hr
		Understory vegetation cover
		Seedling density (by species)
		(log ₁₀ (density(T/ha)))
Question 3) what are the site specific drivers of post-disturbance fuels and tree regeneration?	Elevation Aspect Slope Disturbance group Fuel loading Litter cover Standing pre-fire species (T/ha)	1hr
		10hr
		100hr
		1000hr
		Total fuel loading
		Seedling density (by species)
		(log ₁₀ (density(T/ha)))

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Figure 1: Map of study sites in Northern Colorado and Southern Wyoming.

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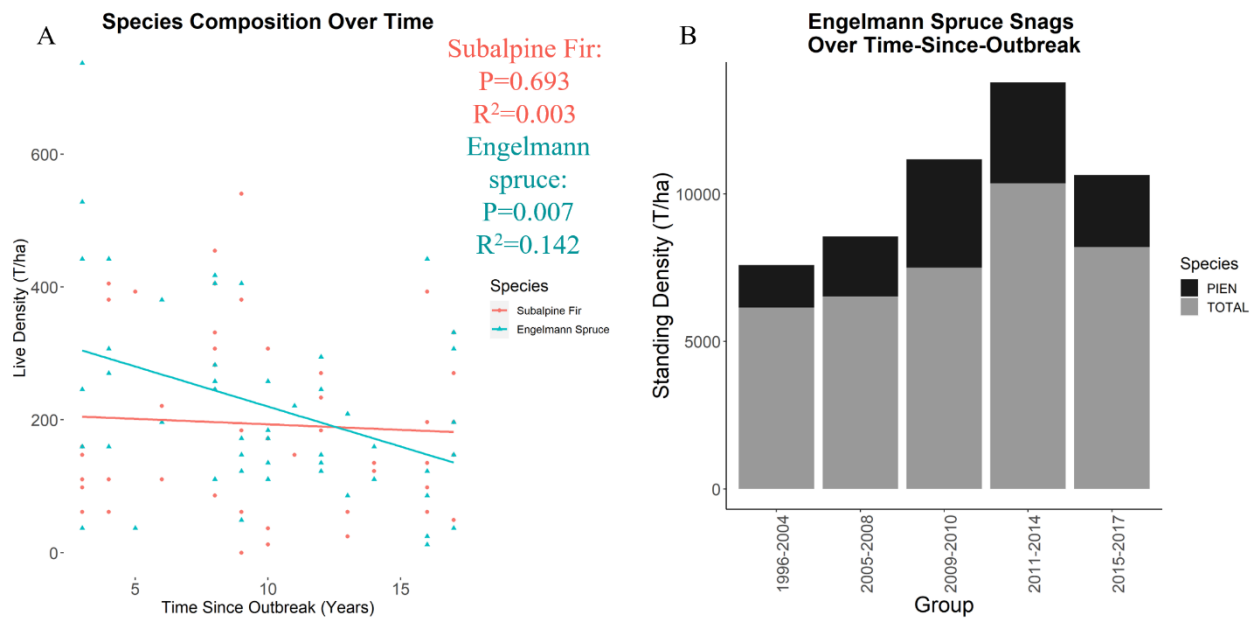
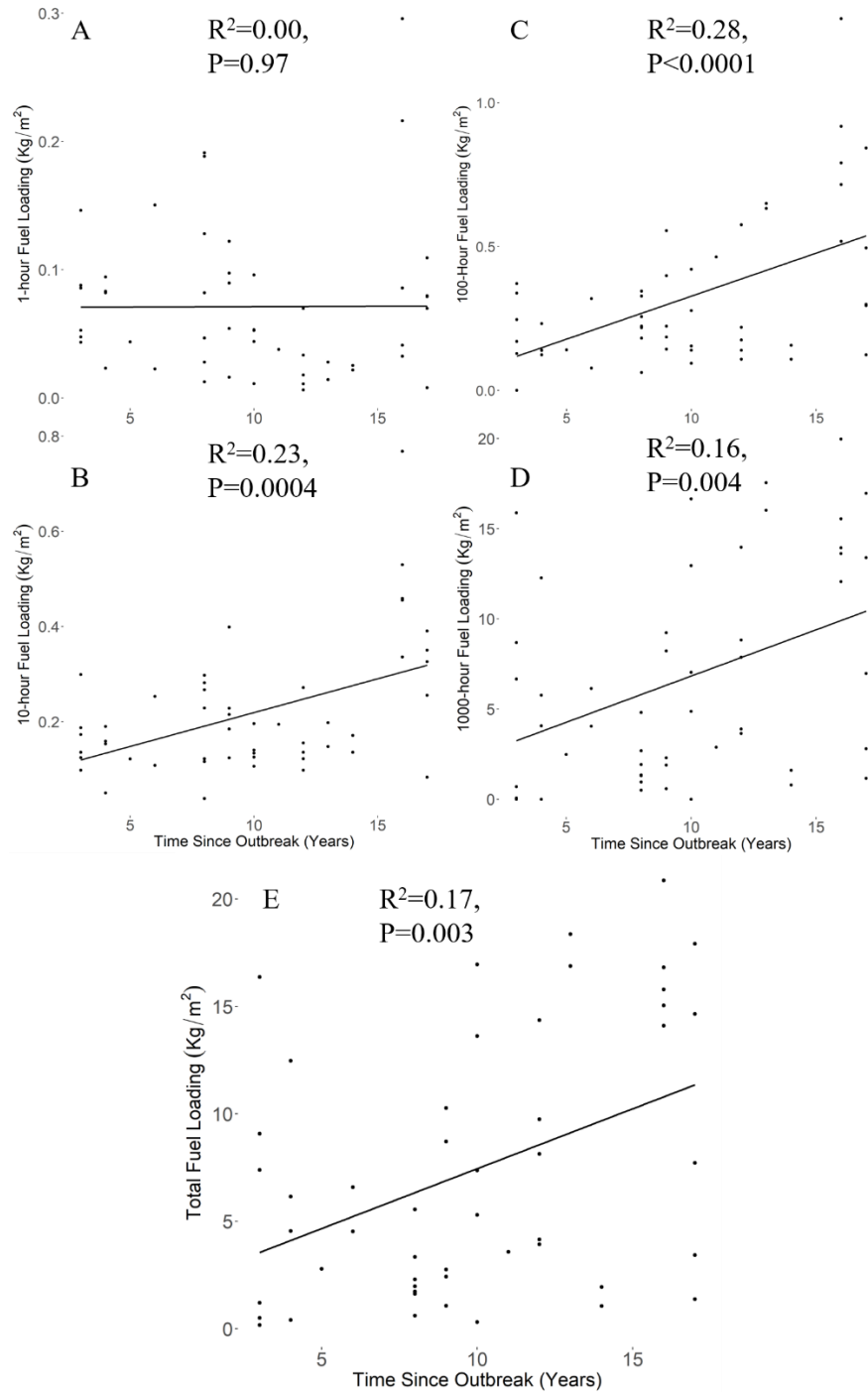


Figure 2: Standing Engelmann spruce dynamics in beetle-affected sites. A) Live standing Engelmann spruce and subalpine fir over time since outbreak. B) Standing Engelmann spruce snags density compared to total standing tree density. Snags shown as a proportion of total standing trees. Some living trees in total standing density were beetle infested and will die over time.



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903 **Figure 3:** Fuel loading (Kg/m²) over time in spruce beetle-affected subalpine stands with fitted
 904 linear regression. A) 1-hour fuel loading over time; B) 10-hour fuel loading over time; C) 100-
 905 hour fuel loading over time; D) 1000-hour fuel loading over time; E) Total fuel loading over
 906 time.

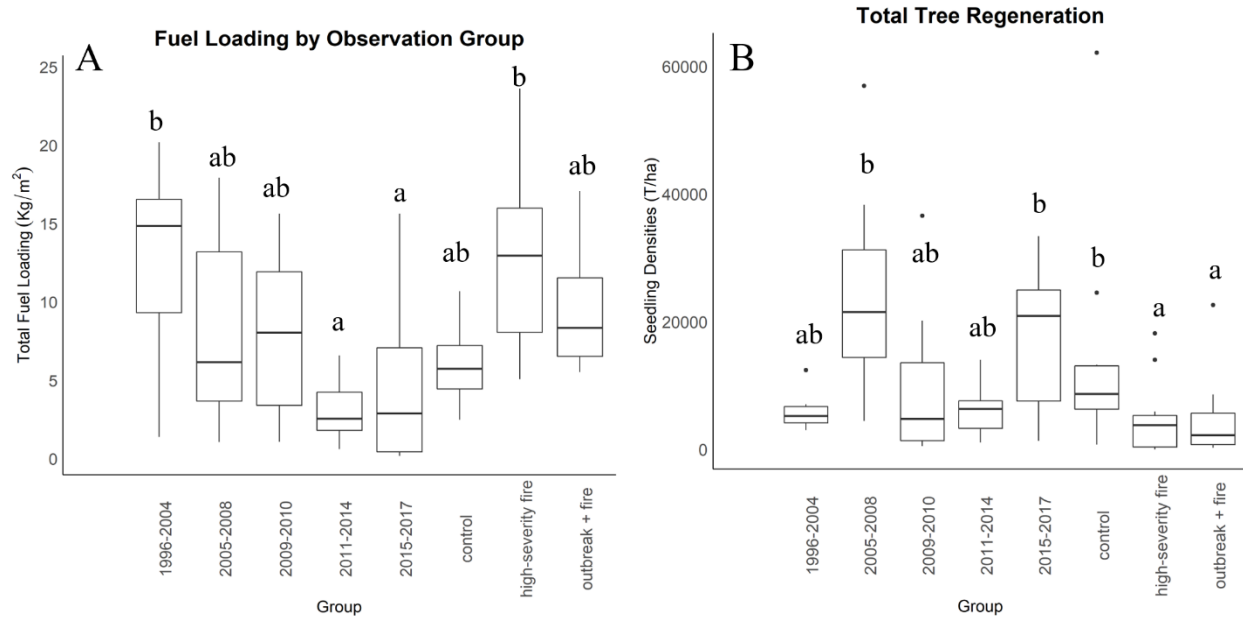


Figure 4: A) Boxplots of total fuel loading by group. B) Boxplot of total tree seedling densities including all species in each disturbance group. Horizontal bars represent mean fuel loading of each disturbance group, height of boxes represent spread of 75% of data. Different letters indicate statistically significant differences between groups from a Tukey's HSD analysis; B) analyses were conducted on log-transformed seedling densities; raw densities are graphically displayed.

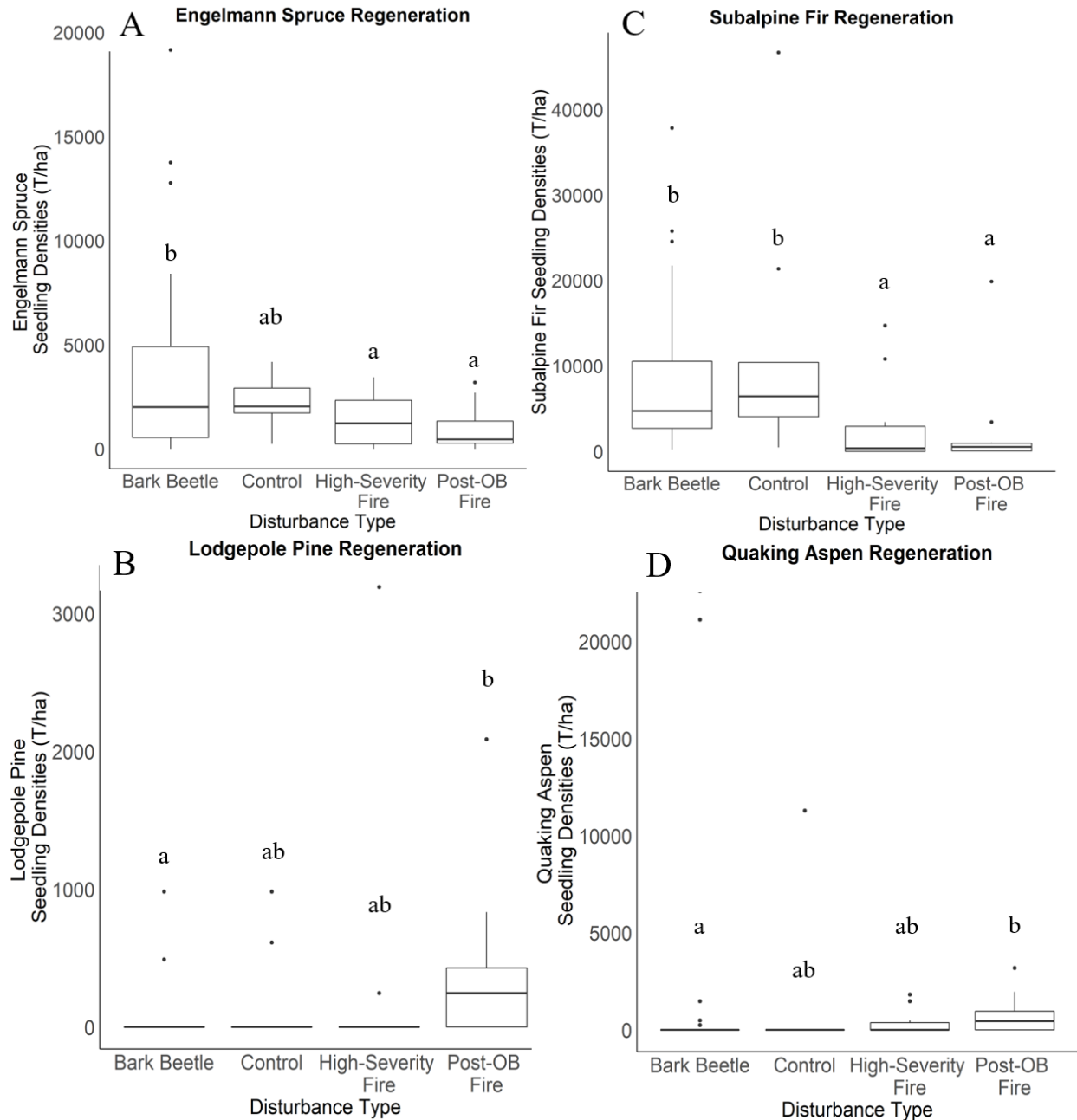


Figure 5: A) Engelmann spruce, B) Subalpine fir, C) Lodgepole pine, D) Quaking aspen tree seedling densities by disturbance type. Lowercase letters indicate significant differences observed among disturbance types using Tukey's HSD test on log-transformed seedling densities; raw densities are graphically displayed.

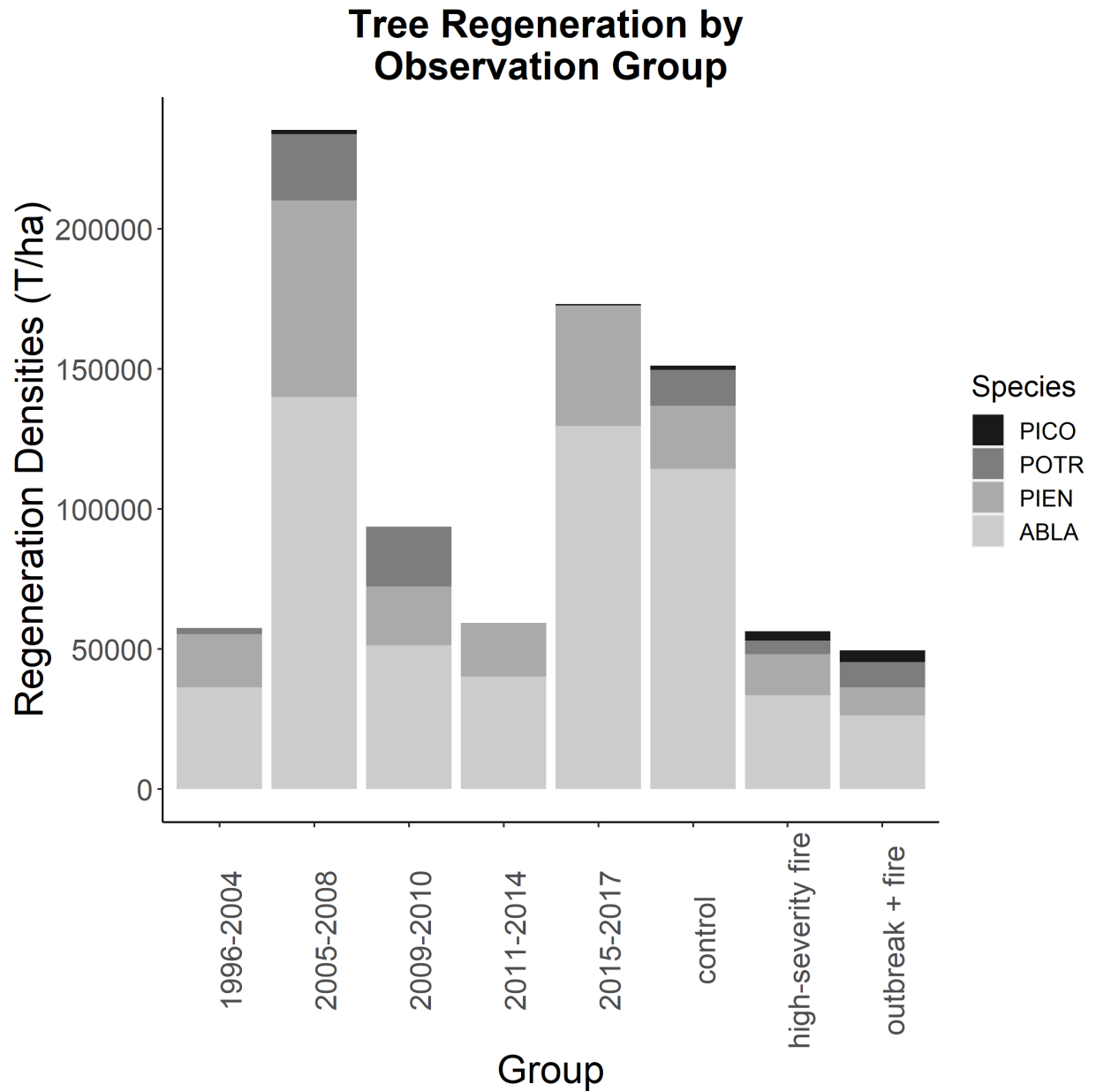


Figure 6: Tree seedling densities for each species by observation group. Seedling densities are log-transformed.